

 <p><b>Financial Assistance Award</b></p> <p><b>DENALI COMMISSION</b>          510 L Street, Suite 410          Anchorage, Alaska 99501          (907) 271-1414 (phone)          (907) 271-1415 (fax)          www.denali.gov</p>		<b>Award Number</b>	01312-00																																																																														
		<b>Award Title</b>	Emerging Energy Technology Grant Fund - Program #550001																																																																														
		<b>Performance Period</b>	August 1, 2010 through December 30, 2015																																																																														
<b>Authority</b> 112 Stat 1854		<b>CFDA Number</b> 90.100		<b>Recipient Organization &amp; Address</b> Alaska Energy Authority 813 W Northern Lights Blvd Anchorage, AK 99503-2407																																																																													
<b>Denali Commission Finance Officer Certification</b>		Ms. Jennifer Price 09/23/2010		<b>Phone:</b> (907) 771-3000 <b>Recipient DUNS #</b> 054072608 <b>TIN #</b> 926001185																																																																													
<b>Cost Share Distribution Table</b>																																																																																	
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<b>Signature of Authorized Official - Denali Commission</b>  Electronically Signed			<b>Typed Name and Title</b> Mr. Joel Neimeyer Federal Co-Chair		<b>Date</b>  09/23/2010																																																																												

# AWARD ATTACHMENTS

Alaska Energy Authority

01312-00

1. Award Conditions - Emerging Energy Technology Grant Fund

***Award Conditions to the Financial Assistance Award  
Between the Denali Commission and Alaska Energy Authority (AEA)  
For Emerging Energy Technology Grant Fund  
Award No. 01312  
August 2010***

***1. Scope of Work***

This grant agreement dedicates funding in the amount of \$3,141,607 to the Emerging Energy Technologies Program with the expectation that project selection will result in amendment of this grant document. Those projects selected for Denali Commission funding will each be funded by a separate award. No projects are authorized to proceed at this time; however, reporting the status of the solicitation process is a requirement of this agreement until projects have been selected. In addition to projects, Commission funds may be sub-awarded or sub-contracted by AEA for data collection, data reporting activities, and third party verification as determined in the project selection process. These activities will be authorized via amendment to this grant document.

The solicitation process shall follow that is established through the State of Alaska's Emerging Energy Technology Fund (EETF) Program (AS 42.45.375). It is anticipated that one solicitation process will be used to select both Denali Commission funded projects and State funded projects. State funds in the amount of \$2,400,000 have been appropriated to the Emerging Energy Technology Fund (SLA 2010 CSSB 230 Ch 43).

A State advisory committee for the EETF will be appointed by the Governor, of which the Denali Commission will be a member. Project selection criteria will be drafted by the Denali Commission and AEA, for finalization by the advisory committee once formed. Upon recommendation of projects to be funded with the EETF, this award will be amended with specific scopes of work, budgets, timelines and data collection activities.

All Commission funding is intended for use for the scope of work identified in the Award document only. Any balance of funds remaining after the full scope of work has been completed will be returned to the Denali Commission.

***2. Milestones***

The following milestones are identified as the major steps to be completed as part of the project. "Planned" dates for the first milestone are included here. As part of each progress report, recipients shall update the progress toward meeting these milestones (see section 7, Reporting).

Milestone	Planned		Actual		Units	Total Cost At Completion
	Start Date	End Date	Start Date	End Date		
In Progress	08/01/2010	12/30/2015			0	\$0.00
Project Close-out	01/01/2016	03/30/2016			0	\$0.00

### ***3. Award Performance Period***

The Award performance period is August 1, 2010 through December 30, 2015. This is the period during which Award recipients can incur allowable obligations or costs against this Award.

### ***4. Direct and Indirect Costs***

The cost principles of OMB A-87 are applicable to this Award. Indirect costs are allowable under this award up to 3% as indicated in the Memorandum of Understanding (MOU) document signed by both parties. Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

### ***5. Budget and Program Revisions***

The Administrative Circular, OMB A-102, applies to this Award. Please refer to the Administrative Circular for specific details on revisions to this Award. The Administrative Circular requires that AEA will inform the Commission in writing (e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions.

### ***6. Payments***

Payments under this Award will be made by electronic transfer in response to a "Request for Advance or Reimbursement", Standard Form 270 (SF-270) submitted by AEA. Requests for reimbursements may be made as needed. The SF-270 must be submitted to the Denali Commission via fax, e-mail ([finance@denali.gov](mailto:finance@denali.gov)) or mail in order for payment to be processed. The form is available on the OMB website: [http://www.whitehouse.gov/OMB/grants/grants\\_forms.html](http://www.whitehouse.gov/OMB/grants/grants_forms.html). Payments shall be made in accordance with OMB Circular A-102. Please contact the Denali Commission's Finance Specialist at (907) 271-1414 for further information about submitting this form. **No interest will be accrued on these funds.**

### ***7. Reporting***

Two forms of project reporting are required under this Award, listed below. AEA shall submit reports using the Denali Commission's on-line Project Database System, available at [www.denali.gov](http://www.denali.gov). If there are technical limitations which may prevent the recipient from meeting this requirement, please contact the Program Manager listed in this agreement.

- a. **Progress Reports** shall be submitted on a quarterly basis. The first reporting period is August 1, 2010 to September 30, 2010 and quarterly thereafter. Reports are due within 30 days of the end of the reporting period. Progress reports shall include the following for projects funded with Denali Commission funds:

- i. Total project funding, including both Denali Commission funding and other project funding sources.
  - ii. The total project expenditures for the project as of the end of the reporting period, including both Denali Commission and Other funding sources.
  - iii. Updated schedule and milestone information as identified in the Scope of Work
  - iv. Narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.
  - v. **Construction Projects** Photographic documentation of project progress shall be provided with the progress reports for active construction projects. The photo documentation shall include a minimum of three, dated photos per reporting period such that a complete record of the construction is maintained over time, from “before,” showing the situation before the start of construction, to “during” showing work proceeding on the project, and “after” to show the finished project. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.
  - vi. **Non-Construction Projects** For minor repair and renovation projects or other non-construction projects, pictures should be provided of before and after, or photos that are representative of the funded activity, to the extent possible. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.
- b. **Federal Single Audits** shall be submitted annually, when required. In accordance with OMB Circular A-133, which requires [subpart 200] “Non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part [subpart 205]. The determination of when an award is expended should be based on when the activity related to the award occurs.”

Recipients shall also submit single audits to the Federal Clearinghouse as designated by OMB. Information can be found on the following web-site:  
<http://harvester.census.gov/sac/>

## **8. Project/Award Close-Out**

The project close-out report shall be completed within 90 days of the end of the Award performance period or within 90 days of the completion of the project, whichever is earlier. Recipients must also draw down any remaining funds for expenditures incurred under this award during this 90-day period.

The project close-out report shall be submitted on-line through the Denali Commission's on-line Project Database System, available at [www.denali.gov](http://www.denali.gov). The project close out will require the recipient to submit the following information:

- a. Final data for each item listed in paragraph 7(a) "Progress Reports"
- b. Final project expenditures itemized by the following categories: planning & design; materials & equipment; freight; labor; project administration/overhead and other expenses.
- c. Acknowledgement of support: For all construction projects, the Award recipient shall display a sign that acknowledges the Government's support for the project(s) developed under this Award. The Commission will provide an appropriate sign. The Award recipient must request an indoor and/or an outdoor sign from the Commission office. Pickup or delivery of the sign can be arranged at that time.
- c. Acknowledgement of support: For all non-construction projects, the Award recipient shall include an acknowledgement of the Government's support for the project(s) developed under this Award. The format for acknowledgement of the Government's support for non-construction awards will vary with each award and must be agreed upon between the Award recipient and the Denali Commission Project Manager. Any printed reports, studies or other written documents funded through activities in this award shall include the Denali Commission logo.
- d. Costs associated with this requirement shall be paid out of the project funding received by the Award recipient from the Denali Commission.

## ***9. Public Policy Laws and Assurances***

Award Recipients are required to comply with the public policy laws and assurances on Standard Forms SF 424b (non-construction projects) or SF 424d (construction projects). This form must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

## ***10. Non-Compliance with Award Conditions***

Recipients not in compliance with the terms and conditions of this Financial Assistance Award will be notified by the Denali Commission. The Denali Commission will work

with the recipient to identify the steps necessary to bring them back into compliance, and will establish an appropriate time frame for the corrections to be made. If the corrections have not been made by the deadline, the Denali Commission reserves the right to either suspend or unilaterally terminate the Financial Assistance Award for non-performance.

***11. Program Manager, Financial Manager & Other Contact Information***

<b>Denali Commission</b>	<b>Alaska Energy Authority</b>
Denali Daniels Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: (907) 271-1189 Fax: (907) 271-1415 E-mail: <a href="mailto:ddaniels@denali.gov">ddaniels@denali.gov</a>	Neil McMahon Program Manager 813 W. Northern Lights Blvd. Anchorage, AK 99503 Phone: (907) 771-3027 Fax: (907) 771-3044 E-mail: <a href="mailto:nmcmahon@aidea.org">nmcmahon@aidea.org</a>
Betty Sorensen Grants Administrator 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3415 Fax: 907-271-1415 E-mail: <a href="mailto:bsorensen@denali.gov">bsorensen@denali.gov</a>	Amy Adler Financial Contact 813 W. Northern Lights Blvd. Anchorage, AK 99503 Phone: (907) 771-3013 Fax: (907) 771-3044 E-mail: <a href="mailto:aadler@aidea.org">aadler@aidea.org</a>

***12. Other project specific paragraphs may be added here***

The 2008-2009 Denali Commission-AEA Memorandum of Agreement (extended to FY10 via amendment) requires that AEA participate in Commission committees and workgroups as available, as well as to participate in biannual meetings to share lessons learned and best practices. Another biannual meeting focus is reporting on the measureable improvements brought about as a result of the Commission's Energy Program and development of program evaluation metrics.